

Perspectives

Mechanisms of tendon injury and repair[†]

Stavros Thomopoulos^{1*}

William C. Parks²

Daniel B. Rifkin³

Kathleen A. Derwin^{4*}

¹Washington University, Department of Orthopedic Surgery, Saint Louis, MO

²Cedars Sinai Medical Center, Department of Medicine, Los Angeles, CA

³New York University, Department of Cell Biology, New York, NY

⁴Cleveland Clinic Lerner Research Institute, Cleveland, OH

* Corresponding Authors:

Stavros Thomopoulos, Ph.D.

Washington University

Department of Orthopaedic Surgery

660 South Euclid, Campus Box 8233

St. Louis, MO 63110

Phone: 314-362-8605

Email: ThomopoulosS@wustl.edu

Kathleen A. Derwin, Ph.D.

Cleveland Clinic Lerner Research Institute

Department of Biomedical Engineering

9500 Euclid Avenue

Cleveland, Ohio 44195

Phone: 216-445-5982

Email: DerwinK@ccf.org

Keywords: animal models, inflammation, healing, tendinopathy

[†]This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article as doi: [10.1002/jor.22806]

Received 22 November 2014; Revised; Accepted 13 December 2014

Journal of Orthopaedic Research

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DOI 10.1002/jor.22806

Abstract

Tendon disorders are common and lead to significant disability, pain, healthcare cost, and lost productivity. A wide range of injury mechanisms exist leading to tendinopathy or tendon rupture. Tears can occur in healthy tendons that are acutely overloaded (e.g., during a high speed or high impact event) or lacerated (e.g., a knife injury). Tendinitis or tendinosis can occur in tendons exposed to overuse conditions (e.g., an elite swimmer's training regimen) or intrinsic tissue degeneration (e.g., age-related degeneration). The healing potential of a torn or pathologic tendon varies depending on anatomic location (e.g., Achilles vs. rotator cuff) and local environment (e.g., intrasynovial vs. extrasynovial). Although healing occurs to varying degrees, in general healing of repaired tendons follows the typical wound healing course, including an early inflammatory phase, followed by proliferative and remodeling phases. Numerous treatment approaches have been attempted to improve tendon healing, including growth factor- and cell-based therapies and rehabilitation protocols. This review will describe the current state of knowledge of injury and repair of the three most common tendinopathies-- flexor tendon lacerations, Achilles tendon rupture, and rotator cuff disorders-- with a particular focus on the use of animal models for understanding tendon healing. . This article is protected by copyright. All rights reserved

Epidemiology and Etiology of Tendon Injury

Flexor tendon injury occurs most commonly by laceration, with the highest incidence in persons aged 20-29 years, with a higher incidence in males than females.¹ Work-related injuries account for ~25% of acute traumatic flexor tendon injuries, most commonly in construction and extraction (44%), food preparation and serving (14%), and transportation and material moving (12%) occupations.¹ The Achilles tendon, the largest and strongest tendon in the human body, is involved in as much as half of all sports-related injuries. The vast majority (~75%) of Achilles tendon ruptures occur in men aged 30-49, and participating in a sports activity is the most common etiologic factor for injury.^{2,3} Biopsies retrieved at surgery have demonstrated degenerative changes in most ruptured Achilles tendons⁴, suggesting that Achilles tendon ruptures could be characterized as acute trauma of chronically degenerated tendons. Rotator cuff disorders are the most common causes of shoulder disability and are very common in the aging population⁵. Full-thickness rotator cuff tears are present in approximately 13% of individuals in their 50s⁶, 25% of individuals in their 60s and 50% of individuals in their 80s⁵. The etiology of rotator cuff tearing is multifactorial and likely a combination of age-related degenerative changes⁷ and micro/macrotrauma. Besides age, smoking, hypercholesterolemia, and family history have been shown to predispose individuals to rotator cuff tearing⁵. It should be appreciated that injuries to the flexor and rotator cuff tendons are intra-synovial and do not undergo spontaneous healing, whereas injury to the Achilles tendon is extra-synovial where fibrous tissue formation can and does occur after injury. Since the local environment and mechanisms of tendon injury are quite different among these three tendinopathic conditions, research questions and models must be framed in the context of these distinctions to produce clinically relevant studies that can eventually be translated to clinical care.

Animal Models of Tendon Injury and Repair

Animal models are the primary means by which fundamental and translational questions related to the complex processes of tendon injury, healing, and repair are investigated. In general, the specific research question should drive the choice of animal model (Table 1). Below, we provide some considerations for choosing appropriate animal models in tendon injury and repair research. The citations provided are intended to be representative of the various animal models and are by no means exhaustive.

Animal models for examining basic mechanisms of chronic tendon injury

Understanding the basic mechanisms of chronic tendon degeneration and subsequent injury would allow for the prevention and/or early treatment of ruptures. This is particularly relevant to the rotator cuff or Achilles tendon, as they typically advance through chronic, degenerative conditions over extended time prior to injury. Chronic tendon injuries are a common musculoskeletal problem in horses⁸, however, naturally occurring equine flexor tendon injury is impractical for broad use as a research model because the severity of equine disease is highly variable and there are practical issues related to animal size, housing and cost. Hence, investigators have used various methods to artificially induce chronic tendon injuries in animal models. For overuse injuries, uphill or downhill treadmill running in rats or mice has been used to induce injury to the rotator cuff⁹ or Achilles tendons¹⁰, respectively. Other investigators have induced overuse injury by applying controlled fatigue loading directly to the patellar tendons of anesthetized rats¹¹ and mice¹². Still others have used full-thickness, partial-width, laceration of the infraspinatus tendon in sheep to induce overstressed and stress-deprived portions of the

tendon¹³. Finally, collagenase injection has been used to induce chronic tendon injury in the rat¹⁴ rabbit¹⁵ and sheep¹⁶ models. While all of these models capture important aspects of tendon degeneration and injury, it is important to keep in mind that none captures the complete etiology of the chronic tendon injuries seen in human patients. Therefore, care must be taken in the choice and interpretation of the animal model used.

Animal models for examining basic mechanisms of tendon healing

Understanding the basic mechanisms of tendon healing would inform the development of new treatments strategies for tendon repair. Animal models to investigate intra-tendinous healing in the absence of repair have largely been performed in rat and mouse models of Achilles, patellar, and flexor tendon injury, where injuries have been induced by a variety of methods, including full-width sharp¹⁷ or blunt transection¹⁸, punch biopsy or window defect¹⁹, collagenase injection¹⁴, partial-width incision¹⁹, or needle stick²⁰. The healing of intra-tendinous injury with repair has been investigated using mouse and rat models of flexor or Achilles tendon mid-substance tenotomy²¹ or tenectomy²². The healing of tendon-to-bone repair has largely been studied in mouse and rat models of rotator cuff²³, Achilles²⁴ and flexor tendon²⁵ injury by sharp transection and then suture repair to bone. Tendon-to-bone healing has also been studied in the rabbit rotator cuff model²⁶. The mouse is a particularly attractive animal model to study tendon healing due to the availability of a wide range of genetically manipulated targets thought to be involved in tendon healing and regeneration. Critical pathways of healing can therefore be studied in a mechanistic manner. A recent paper also describes tendon development in the zebrafish²⁷, introducing an additional animal model for studying tendon biology to the community that is even easier to manipulate genetically than the mouse. Although it is implicitly

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assumed that the mechanisms observed in animal models are generalizable to the biology of human tendon healing, the extent to which the mechanisms of inducing injury, the particular tendon that is injured, or the age or species of the animal influence findings is currently unknown. Hence further work is needed to validate the generalizability and translatability of basic science studies of tendon healing in these animal models.

Animal models for translation to clinical care

A number of patient, surgical and post-operative influences should be considered in the choice and development of translational animal models for tendon repair and healing. The research variables of interest may include surgical technique, co-morbidities (e.g., obesity, smoking), repair augmentation strategies (e.g., grafts, cells, growth factors), and post-operative loading (rehabilitation). Because the goal of these research questions is translation to patient care, the animal model used should reflect the specific tendon of interest and incorporate clinically relevant features to the extent possible. Although the use of translational animal models may not allow for unraveling mechanistic links between functional outcomes and underlying biological events, results are intended to inform and improve clinical practice.

Flexor Tendon Repair

Translational studies of flexor tendon repair have largely been performed in the canine model. Dog flexor tendon anatomy is similar to humans²⁸, and they are large enough to perform an operative repair that is identical to that used in clinical practice. The canine flexor tendon repair model has been used over the past thirty years to investigate strategies for optimal suture repair²⁹, autogenic and allogenic graft repair³⁰, enhancing tendon-tendon or tendon-bone repair using

growth factors, cells and other therapeutics³¹, and reducing tendon adhesions using biologic lubricants^{32,33}. Furthermore, post-operative rehabilitation can be controlled in the canine model using a specially designed removable cast system which allows for replication of the controlled physical therapy that patients receive after tendon repair. The canine model has been used extensively to investigate the optimal rehabilitation parameters following flexor tendon repair³⁴.

Achilles Tendon Repair

Translational studies of Achilles tendon repair include those which investigate intra-tendinous repair as well as tendon-bone repair. The canine³⁵ and rabbit³⁶ have been used for translational studies of Achilles tendon repair using a variety of techniques and therapeutics for several decades. Their size allows for clinically relevant operative technique, and each model can be manipulated to control post-operative rehabilitation through casting and/or treadmill activity^{37,38}. In more recent years, the rat model of Achilles tendon repair has been used extensively as well³⁹. Although its size limits the use of some standard-of-care surgical techniques, post-operative loading of the repair can also be controlled through a variety of means such as casting, treadmill running or swimming in the rat model⁴⁰⁻⁴².

Rotator Cuff Repair

The rat model has been used most extensively to study the factors and strategies that influence rotator cuff repair⁴³. The rat's bony and muscle anatomy greatly resembles that of humans⁴⁴. Re-tear of rotator cuff repairs has not been observed post-operatively in the rat model⁴⁵. Hence, the rat model lends itself particularly well to studying regenerative (biologic) strategies for rotator cuff repair, but is a less suitable model for translational studies of

mechanically motivated standard-of-care repair techniques and strategies. The rat has been used to study the effect of post-operative activity levels⁴⁶ (see section below), chronic tears⁴⁷, and chronic tears followed by surgical repair⁴⁸. Because chronic tendon tears in the rat are reparable through at least 16 weeks⁴⁸, the rat allows for studies of tendon-to-bone repair in the context of a clinically relevant chronic tendon injury, although in the absence of persistent degenerative muscle changes⁴⁹.

Large animals, such as the rabbit, dog, sheep and goat, have also been used to study surgical techniques⁵⁰ and regenerative strategies for rotator cuff repair, including using growth factors⁵¹, scaffold interposition⁵² and scaffold augmentation⁵³. Because of their size, many standard-of-care surgical techniques can be reproduced in large animals. However, rotator cuff repairs in large animals uniformly undergo re-tear post-operatively⁵⁴⁻⁵⁶, which confounds interpretation of the mechanical effectiveness of various repair strategies for the human condition. Further, the high incidence of tendon re-tear makes large animal models less suited to study biologic treatments aimed at tendon-to-bone healing because of the difficulty keeping the tendon and bone in close proximity after repair. The sheep and canine do not lend themselves to the study of chronic rotator cuff repair because chronically released rotator cuff tendons become irreparable after approximately 6 weeks^{57,58}. Rabbit rotator cuff tendons, however, are reparable out to 12 weeks⁵⁹. As a consequence of chronic tendon release, significant muscle atrophy and fatty infiltration develop and persist in large animal models^{58,60,61} making them well-suited to study the mechanism and treatment of associated rotator cuff muscle pathology. A more exhaustive review of animal models for rotator cuff repair can be found elsewhere⁶².

Tendon healing

Inflammation, proliferation, and remodeling in tendon healing

Tendon healing after surgical repair generally progresses through a short inflammatory phase, which lasts about a week, followed by a proliferative phase, which lasts a few weeks, followed by a remodeling phase, which lasts many months.⁶³ During the inflammatory phase, vascular permeability increases and an influx of inflammatory cells enter the healing site. These cells produce a number of cytokines and growth factors that lead to recruitment and proliferation of macrophages and resident tendon fibroblasts. During the proliferative and remodeling phases of healing, fibroblasts proliferate and begin to produce, deposit, orient, and crosslink fibrillar collagens.

Tendon healing generally involves the contributions of cells from multiple sources, including infiltrating inflammatory cells, resident fibroblasts from the tendon surface or midsubstance, and tendon or marrow-derived mesenchymal stem cells. Yet the specific cellular events in healing depend on the anatomy and physiology of a given tendon injury and repair. For example, healing of flexor tendon injuries begins with angiogenesis and epitenon fibroblast migration to the wound site.^{64,65} Cells from the intrasynovial sheath infiltrate to the repair site, leading to adhesions between the sheath and the tendon surface, which impairs tendon gliding (and hence decreases digital range of motion).⁶⁵ In the rotator cuff, on the other hand, injuries typically require repair of tendon to bone. In this case, abundant fibroblasts from the tendon and surrounding tissues produce a disorganized collagen scar tissue at the attachment site of the two tissues.⁴⁶ Osteoclasts are also attracted to the repair site, and resorption of bone at the repair site can impair healing.⁶⁶ Understanding how different tendons heal is an important consideration for post-operative treatment and rehabilitation.

Recent evidence suggests that modulation of inflammation in the early stages following tendon repair may lead to improved healing.⁶⁷ It is important to recognize that regulated inflammation is largely beneficial to tissue repair, whereas excessive or persistence inflammation can be damaging. Indeed, whereas inflammatory cytokines attract fibroblasts to the repair site, excessive inflammation may lead to poor clinical outcomes.^{68,69} Macrophages play essential roles in both promoting and resolving inflammation and in both facilitating and moderating tissue repair (Figure 1). That a single cell type can serve opposing functions may seem counterintuitive, but dramatic phenotypic changes occur when macrophages respond to local stimuli.^{69,70} Macrophages are broadly classified into two groups, classically activated (M1) or alternatively activated (M2) cells, although it is important to note that many more phenotypes exist, each driven by specific activation conditions (Figure 1).⁷⁰ M1 macrophages, which are stimulated by bacterial products or Th1 cytokines, are pro-inflammatory (via release of IL1 β , IL12, TNF α , others) and stimulate scarring and fibrosis. M2 macrophages, which are induced by Th2 cytokines, are anti-inflammatory (via release of IL10, TGF β 1, others) and are effective at clearing excess extracellular matrix (ECM) in scars. In an injury setting, M1 cells predominate early, whereas M2 macrophages accumulate later.⁶⁹ Ablation studies in liver, skin, and tendon show that during the early stages post-injury, macrophages (presumably M1) promote repair processes (i.e., re-epithelialization, myofibroblast activation, scarring, etc.) and inflammation, whereas at later stages, these cells (presumably M2) suppress inflammation and resolve scarring. Hence, in tendon injury, it would be reasonable to hypothesize that M1 macrophages promote repair by stimulating ECM production and that later on M2 macrophages repress inflammation and clear excess ECM, a concept that is consistent with experimental evidence.⁶⁸ Disturbing the balance between these macrophage subtypes may result in defective repair and impaired tissue

function. For example, over-activation or an abundance of M1 macrophages could lead to deleterious inflammation and excess ECM production, whereas sustained or an excess of M2 cells could cause excess tissue remodeling resulting in tissue damage. Thus, understanding the signals that control macrophage activation will provide fundamental insights to how tissue repair processes are orchestrated and balanced.

A number of growth factors, powerful regulators of biological function, play important roles during the remodeling phase of tendon healing.⁷¹ The patterns of natural expression of platelet derived growth factor (PDGF-BB), basic fibroblast growth factor (bFGF), transforming growth factor β (TGF- β), and vascular endothelial growth factor (VEGF) vary dramatically over time during tendon healing. Manipulation of the growth factor environment has therefore been an important strategy for improving the outcomes of repaired tendon and ligament.^{32,72} PDGF and bFGF have been effective in promoting fibroblast proliferation and collagen remodeling; however, bFGF has also been shown to promote adhesions in a flexor tendon animal model.^{32,73} TGF- β has received particular attention in the tendon literature due to its critical role in tendon development and its potent effect in promoting matrix remodeling.⁷⁴ Furthermore, fetal tendon wounds have been shown to heal in a regenerative manner (i.e., the repaired tissue is identical to the original tissue) and this process may be regulated by TGF- β isoforms.^{75,76} Specifically, regenerative fetal wound healing was characterized by low expression of TGF- β 1 and TGF- β 2 and high expression of TGF- β 3. In contrast, adult scar-mediated wound healing was characterized by high levels of TGF- β 1 and TGF- β 2 and low levels of TGF- β 3. However, therapeutic application of this concept has not to date been successful, as control of TGF- β isoforms during tendon-to-bone healing in a rat rotator cuff model did not lead to regenerative healing.⁷⁷ These initial therapeutic studies using growth factors to improve tendon

healing demonstrate that dosage, time of administration, residence time and synergistic effects significantly complicate the use of growth factors as a treatment strategy.

Rehabilitation strategies for enhanced tendon healing

Tendon development, homeostasis, and healing are influenced by their loading environments.⁷⁸ Muscle loading is necessary for tendon development and maintenance of adult tendon mass and mechanical properties. The effects of loading on healing tendons, however, are complex. Optimal post-repair rehabilitation strategies for tendon depend on the particular tendon's environment and functional requirements. For example, successful repair of flexor tendons requires both gliding and strength for digital function. Immobilization after repair of flexor tendons leads to adhesions between the tendon and its synovial sheath, limiting tendon excursion and hence decreasing finger range of motion and tendon strength.⁷⁹ Passive motion rehabilitation, on the other hand, has been shown in animal models and clinical practice to greatly improve post-repair function, leading to improved tendon gliding and increased repair strength compared to both immobilization and active force rehabilitation.⁸⁰

In contrast, studies in the rat rotator cuff model have suggested a beneficial effect of immobilization to prevent post-repair gapping and aid in healing.⁴⁶ Protective immobilization was shown to improve healing compared to other post-repair loading protocols such as exercise or complete tendon unloading.⁴⁶ The mechanisms behind the benefits of immobilization are unclear, but likely include mechanical (i.e., prevention of gap formation) and biologic effects (e.g., reduced phagocytic macrophage accumulation⁸¹). Recent evidence that rotator cuff re-tears in human patients occur within the first 3-6 months post-operation^{82,83} supports a conservative

approach to rehabilitation after repair, though to date no apparent advantage or disadvantage of shoulder immobilization compared with early passive range of motion has been shown.⁸⁴

In summary, rehabilitation strategies must balance the negative outcomes that can arise from immobilization (e.g., increased adhesions, retarded repair tissue maturation, joint stiffness) with the negative outcomes that can arise from too much load (e.g., repair tissue rupture) (Figure 2). Furthermore, the particular anatomy and functional requirement of a given tendon repair must be considered when determining the optimal rehabilitation scenario.

Conclusions and open questions

Treatment of tendon injuries is a significant clinical challenge. The basic science of intra-tendinous and tendon-bone healing remain only partially understood. Over the past three decades, advances have been made in the treatment of certain tendinopathies by first understanding injury and healing in animal models and then translating that understanding to clinical care. Despite these advances, a number of open questions remain, including:

- Does it matter which injury mechanism and/or tendon we use to explore the basic science of tendon healing?
- What is the “Goldilocks balance” for macrophage response (e.g., M1 vs. M2), rehabilitation (e.g., immobilization vs. loading), and other mechanisms that influence repair?
- What are the appropriate animal models for basic and translational questions related to various tendon injury and repair scenarios?
- How can we harness the power of growth factors to improve tendon repair?
- Are there translational questions that cannot be answered in animal models?

- How does physical therapy translate to cell-level responses?

Acknowledgements

Support from the National Institutes of Health (AR057836, AR060820).

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Tables

Table 1: Animal models for studying tendon healing.

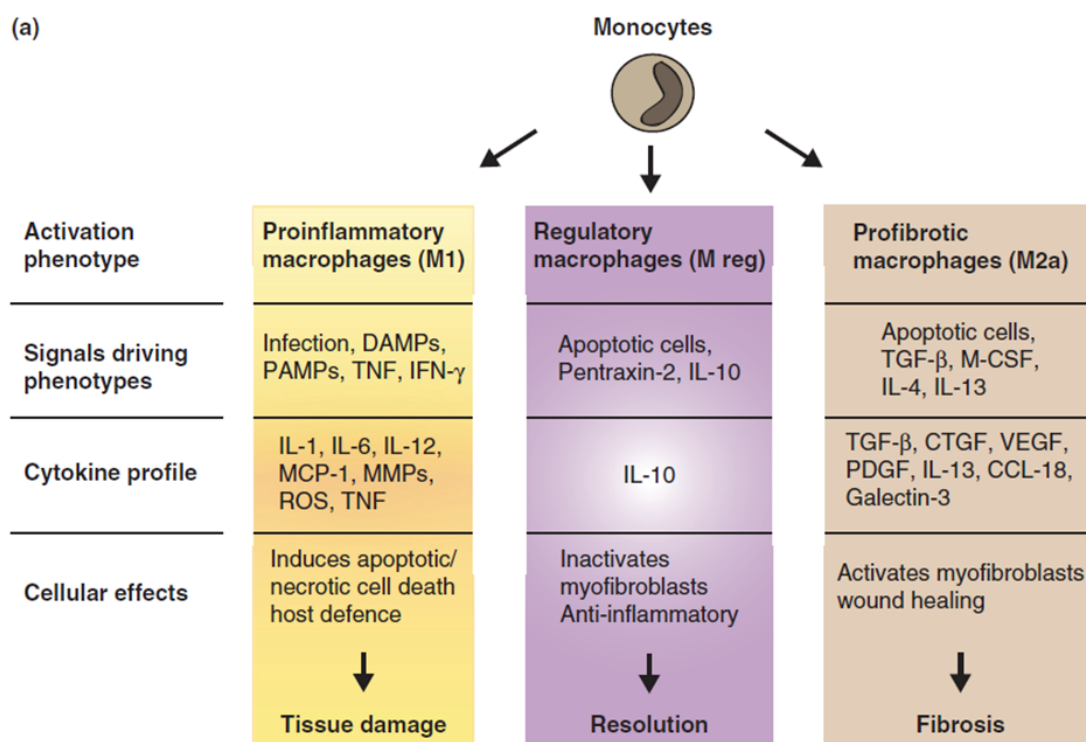
Research Question	Animal Models
Basic Mechanisms of Chronic Tendon Injury	<u>Models of Inducing Chronic Tendon Injury (Mouse, Rat, Rabbit, Sheep)</u> <ul style="list-style-type: none"> • Uphill or downhill treadmill running • Controlled fatigue loading under anesthesia • Partial laceration • Collagenase injection
Basic Mechanisms of Tendon Healing	<u>Models of Tendon Healing (Mouse, Rat, Rabbit)</u> <p>A) Intra-tendinous healing following injury induced by:</p> <ul style="list-style-type: none"> • Sharp or blunt transection • Punch biopsy or window defect • Needle stick • Collagenase injection <p>B) Tendon-bone healing following sharp transection and suture repair to bone</p>
Translation to Clinical Care	<u>Translational Models of Tendon Injury and Repair</u> <ul style="list-style-type: none"> • Flexor Tendon: Canine • Achilles Tendon: Rat, Rabbit, Canine • Rotator Cuff Tendon: Rat, Rabbit, Canine, Ovine

Figure Legends

Figure 1:(a)Macrophages can differentiate into specific subpopulations with distinct phenotypes and functions. (b)In acute inflammation, macrophage phenotypes such as M2 and Mreg are usually beneficial for immunosuppression, scar resolution, and remodeling. In chronic inflammation, macrophage phenotypes such as M2 and M2a can stimulate excessive tissue remodeling resulting in fibrosis. [Reproduced, with permission, from ⁶⁹]

Figure2:To achieve effective healing, a balance must be reached betweenloads that are too low (leading to increased adhesions, retarded repair tissue maturation, and/or joint stiffness) and loads that are too high (leading to repair site gapping or rupture).⁷⁸

(a)



(b)

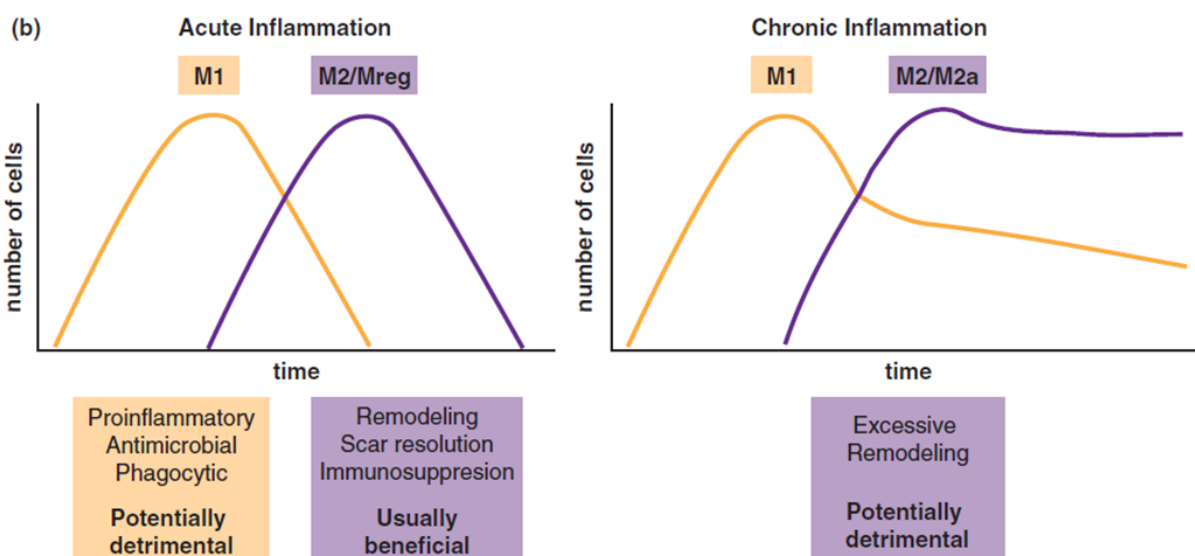


Figure 1

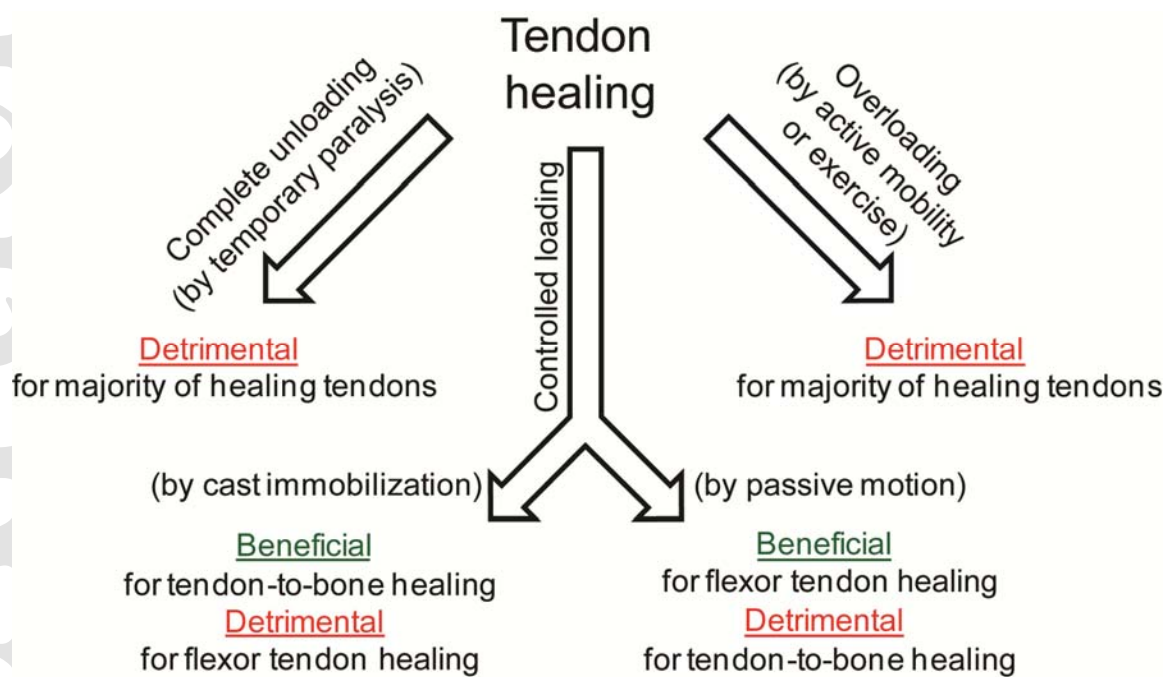


Figure 2